

FWA #: **FWA00004218**  
Institution: **University of Arizona**  
Expires: **07/17/2028**

OMB No. 0990-0278  
Approved for use through August 31, 2023

## **Federalwide Assurance (FWA) for the Protection of Human Subjects**

### **1. Institution Filing Assurance**

Legal Name: **University of Arizona**  
City: **Tucson** State/Province: **AZ** Country: **USA**

### **2. Institutional Components**

List below all components over which the Institution has legal authority that operate under a different name. Also list with an asterisk (\*) any alternate names under which the Institution operates.

NOTE: The Signatory Official signing this Assurance must be legally authorized to represent the Institution providing this Assurance and all components listed below.

<b>Name of Component or Alternate Names Used</b>	<b>City</b>	<b>State (or Country if Outside U.S.)</b>	
U Arizona South	<b>TUCSON</b>	AZ	<b>A</b>
Boyce-Thompson Arboretum	<b>SUPERIOR</b>	AZ	<b>A</b>
Apache County Extension	<b>ST. JOHNS</b>	AZ	<b>A</b>
Cochise County Extension	<b>WILLCOX</b>	AZ	<b>A</b>
Coconino County Extension	<b>FLAGSTAFF</b>	AZ	<b>A</b>
Gila County Extension	<b>GLOBE</b>	AZ	<b>A</b>
Graham County Extension	<b>SOLOMON</b>	AZ	<b>A</b>
La Paz County Extension	<b>PARKER</b>	AZ	<b>A</b>
Maricopa County Extension	<b>PHOENIX</b>	AZ	<b>A</b>
Mohave County Extension	<b>KINGMAN</b>	AZ	<b>A</b>
Navajo County Extension	<b>HOLBROOK</b>	AZ	<b>A</b>
Pima County Extension	<b>TUCSON</b>	AZ	<b>A</b>
Greenlee County Extension	<b>DUNCAN</b>	AZ	<b>A</b>
Pinal County Extension	<b>CASA GRANDE</b>	AZ	<b>A</b>
Santa Cruz Cooperative Extension	<b>NOGALES</b>	AZ	<b>A</b>
Yavapai County Extension	<b>PRESCOTT</b>	AZ	<b>A</b>
Campus Agricultural Center	<b>TUCSON</b>	AZ	<b>A</b>
Citrus Agricultural Center	<b>WADDELL</b>	AZ	<b>A</b>

Marana Agricultural Center	<b>MARANA</b>	AZ	<b>A</b>
Maricopa Agricultural Center	<b>MARICOPA</b>	AZ	<b>A</b>
Safford Agricultural Center	<b>SAFFORD</b>	AZ	<b>A</b>
Santa Rita Experimental Range	<b>GREEN VALLEY</b>	AZ	<b>A</b>
V-Bar, V-Ranch	<b>COTTONWOOD</b>	AZ	<b>A</b>
Yuma Agricultural Center, Valley Station	<b>YUMA</b>	AZ	<b>A</b>
The Controlled Environment Center	<b>TUCSON</b>	AZ	<b>A</b>
Arizona Cancer Center Satellite Clinics	<b>Greater Phoenix Area</b>	AZ	<b>A</b>
The University of Arizona College of Medicine – Phoenix	<b>Phoenix</b>	AZ	<b>A</b>
Arizona Cancer Center	<b>Tucson</b>	AZ	<b>A</b>
Arizona Cancer Center at UMC North	<b>Tucson</b>	AZ	<b>A</b>
Arizona Cancer Center Satellite Clinics	<b>Greater Tucson Area</b>	AZ	<b>A</b>
Center for Applied Nanobioscience and Medicine	<b>Chandler</b>	AZ	<b>A</b>
BIO5	<b>Oro Valley</b>	AZ	<b>A</b>
Banner Health	<b>Tucson</b>	AZ	<b>A</b>

### **3. Statement of Principles**

This Institution assures that all of its activities related to human subjects research, regardless of the source of support, will be guided by the following statement of principles governing the institution in the discharge of its responsibilities for protecting the rights and welfare of human subjects of research conducted at or sponsored by the institution. (indicate below)

#### ***The Belmont Report***

### **4. Applicability**

(a) This Assurance applies whenever this Institution becomes engaged in human subjects research conducted or supported by any U.S. federal department or agency that has adopted the U.S. Federal Policy for the Protection of Human Subjects (also known as the Common Rule), unless the research is otherwise exempt from the requirements of the Common Rule or the department or agency conducting or supporting the research determines that the research shall be conducted under a separate assurance.

(b) Optional: This Institution elects to apply the following to all of its human subjects research regardless of the source of support, except for research that is covered by a separate assurance:

## **5. Assurance of Compliance with the Terms of the Federalwide Assurance**

(a) This Institution assures that whenever it engages in research to which this Assurance applies, it will comply with the Terms of the Federalwide Assurance (contained in a separate document on the Office for Human Research Protections (OHRP) website).

## **6. Designation of Institutional Review Boards (IRBs)**

This Institution assures that it will rely upon only IRBs registered with OHRP for review of research to which this FWA applies. This institution (a) designates the following internal IRB(s) for review of research under this Assurance; or (b) does not have an internal IRB and designates the following external IRB for review of all research to which this FWA applies or, if multiple external IRBs are relied upon, the following external IRB that reviews the largest percentage of research to which this FWA applies.

NOTE: Institutions designating internal IRBs do not need to designate any of the external IRBs upon which it relies.

<b>HHS IRB Registration Number</b>	<b>Name of IRB as Registered with HHS</b>	<b>Is the IRB Internal or External to the Institution?</b>
IRB00000291	U of Arizona IRB #1	I
IRB00000449	Washington Ste U IRB #1 - Behavioral & Biomedical	E
IRB00000533	WCG IRB	E
IRB00000781	National Cancer Inst Central IRB #1 (Adult)	E
IRB00004296	National Cancer Inst Central IRB #2 (Pediatric)	E
IRB00011272	Washington Ste U IRB #2	E

**7. Human Protections Administrator (e.g., Human Subjects Administrator or Human Subjects Contact Person)**

First Name: **Christine**                      Middle Initial:                      Last Name: **Melton-Lopez**  
 Degrees or Suffix: **MS, CIP**                      Institutional Title: **Director, Human Subjects Protection Program**  
 Institution: **The University of Arizona**  
 Telephone: **520 626-8630**                      FAX: **520 621-3355**                      E-Mail: **melton1@arizona.edu**  
 Address: **845 N Park Ave., Suite 537A**  
               **845 N Park Ave., Suite 537A**  
 City: **Tucson**                      State/Province: **AZ**                      Country: **USA**

## **8. Signatory Official (i.e., Official Legally Authorized to Represent the Institution)**

*I have read and agree to the Terms of the Federalwide Assurance.*

*I recognize that providing research investigators, IRB members and staff, and other relevant personnel with appropriate initial and continuing education and training about human subject protections will help ensure that the requirements of this Assurance are satisfied.*

Acting officially in an authorized capacity on behalf of this Institution and with an understanding of the Institution's responsibilities under this Assurance, I assure protections for human subjects as specified above. The IRB(s) that this institution relies upon will comply with the Terms of the Federalwide Assurance when reviewing research covered by this Assurance and possess appropriate knowledge of the local context in which this Institution's research will be conducted.

All information provided with this Assurance is up-to-date and accurate. I am aware that false statements could be cause for invalidating this Assurance and may lead to other administrative or legal action.

Signature: **Elliott Cheu Ph.D.**

Date: **07/14/2023**

First Name: **Elliott**

Middle Initial:

Last Name: **Cheu**

Degrees or Suffix: **Ph.D.**

Institutional Title:

**Interim Senior Vice President, Research and Innovation**

Institution: **University of Arizona**

Telephone: **520 621-3513**

FAX: **520 621-7507**

E-Mail: **echeu@email.arizona.edu**

Address: **1401 E. University Administration 601**

City: **Tucson**

State/Province: **AZ**

Country: **USA**

## **9. FWA Approval**

The Federalwide Assurance for the Protection of Human Subjects submitted to HHS by the above Institution is hereby approved.

Assurance Number: **FWA00004218**

Expiration Date: **07/17/2028**

Signature of HHS Approving Official: **Michael Stidham**

Date: **07/17/2023**

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