

#### THE CONCERNS

- Diversion of intellectual property (IP) in grant applications
- Sharing of confidential information during peer review
- Failure of US investigators to disclose resource from other organizations outside the US
  - Other/Current & Pending Support
  - Significant Financial Interests
  - Factors into decisions about funding/support from federal agency

#### HUAWEI / ZTE

- Reminder: UA will not accept new awards, gifts, affiliate membership dues, renewals, or other support from Huawei, ZTE, or their subsidiaries. (issues with wire fraud, IP theft, sanction violations)
- National Defense Authorization Act (NDAA) prohibits govt agencies from purchasing telecommunication/surveillance equipment from these companies.



- Reiterates NIH Grants Policy Statement on foreign components
- Report all research support and financial interests, including from sources outside the US

#### NSF

- July 11 "Dear Colleague" letter: reminder of current & pending support requirements
- Proposed PAPPG changes:
  - Electronic biosketch & current &pending support formats
  - January 2020
  - "Clarifications", not policy changes

#### DEPT OF DEFENSE

- All new DOD notices of funding opportunities will require:
  - List of current projects key personnel are working on, and pending future support
  - Captured in Sr/Key Person profile form

#### DEPT OF ENERGY

- Grant, Fellowship, center recipients are prohibited from using DOE funds in areas identified by their science & technology risk matrix (tba)
- Recipients of financial assistance are prohibited from participating in foreign talent recruitment programs

#### SEND YOUR QUESTIONS...

- SEPT 11, 2019
- FULL SESSION ON DISCLOSURES/PROJECTS/FOREIGN INFLUENCE
- 9:00 10:30AM
- ENR2 S107

• EMAIL QUESTIONS TO: LSCHULTZ@EMAIL.ARIZONA.EDU

#### RESOURCES

- Research Gateway Policies & Guidance
  - https://rgw.arizona.edu/resources/policy-guidance
- Sponsor Resources
  - https://arizona.box.com/s/y8jmjddjpseyhqxqeyv8tchsdgvrs39a



## Project FOCUS





Monthly Research Administration Forum Wednesday, August 21<sup>st</sup> 2019



## Who Are We?

- transition program between high school and adult life
- individuals with intellectual and/or developmental disabilities
  - two-year program
  - students between the ages of 18 and 22





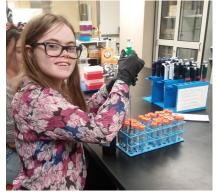






Students take U of A classes, work at internships and participate in social activities across campus

How do Internships Work?



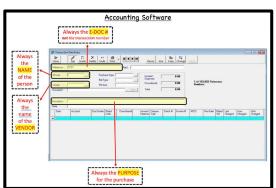


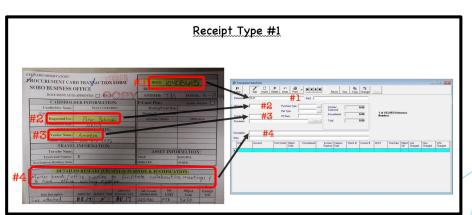






- three to fifteen hours per week (depends on student stamina and site needs)
  - typically non-paid (especially in the beginning)
- students provided on-site support with JOB COACHES (same-age peer mentors)





analyze the job site and tasks to create supplemental training materials to ensure efficiency and accuracy during work.

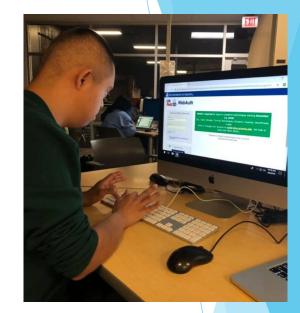


## What are the Benefits?



For our STUDENTS →

- Employment Skills & Experience
  - Confidence & Growth
- Self-Determination & Advocacy
  - Independence
  - Resume Building







For our INTERNSHIP SITE PARTNERS →

- Inclusive & Diverse Workplace
- Positive & Reliable Student Worker
  - ❖ Affordable Labor ☺
  - Programmatic Collaboration
  - A Fantastic Team Member!!



## **Contact Information**

Carrie Hollman Project FOCUS

Career and Community Outreach



chollman@email.arizona.edu (520) 262-0168





## Project FOCUS

Focusing on Opportunities with Community and University Support



#### ACADEMICS

- two-year program
- U of A courses
- one-to-one peer mentor support
  - adapted materials
  - audit and credit models





- ✓ three to six internships
  - ✓ integrated worksites
  - ✓ on- and off-campus
  - ✓ paid and non-paid
- ✓ resumes & cover letters











#### CAMPUS LIFE

- fully inclusive
- sports, clubs, art & culture
- socializing with same-age peers
- navigating campus & improving mobility



# Award Setup Status Report



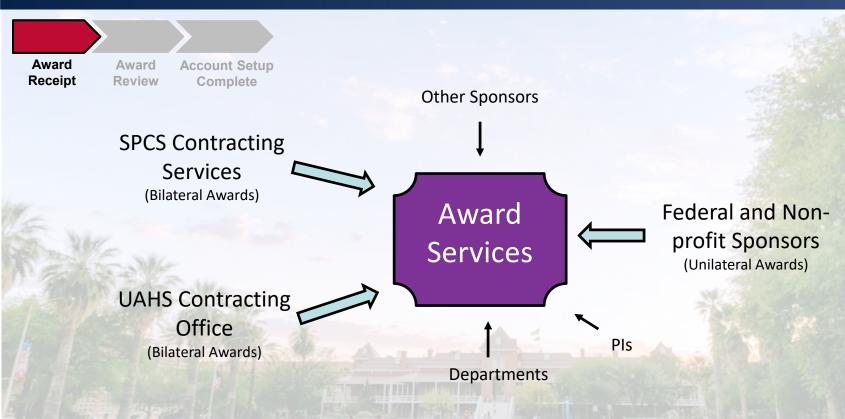


#### Tracking a Process





#### The Award Setup Process – Award Receipt



Good Morning Dr. Sheets,

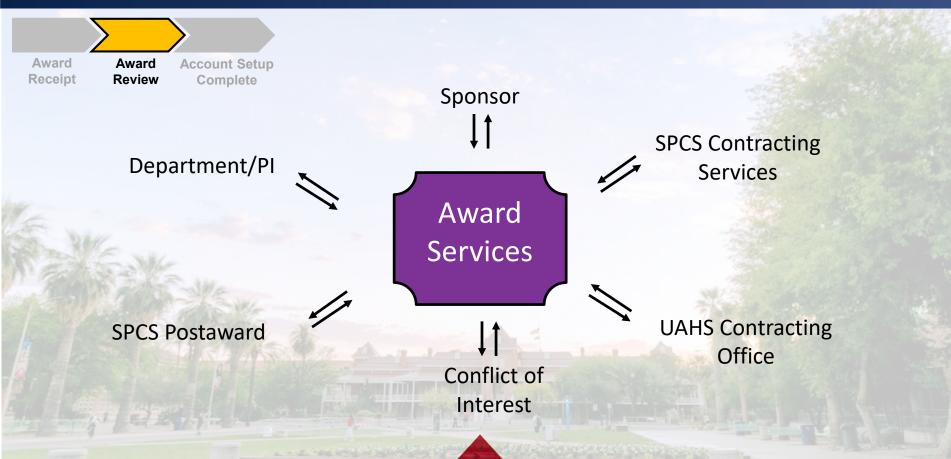
Your fully executed document referenced in the subject line of this e-mail is attached. Please print a copy for your files, as no hard copy will follow. If you contact Sponsored Projects & Contracting Services concerning this document, please reference the Log number mentioned below under UA Reference No.

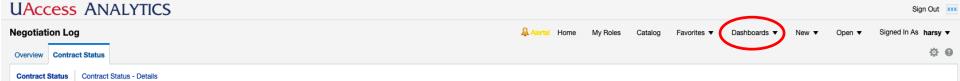
This agreement is being sent to Award Services for account setup. Please allow 7 days for your account to be set up. You will be notified by email when the account is available. You may follow the progress of the award setup process in <u>UAccess</u> Analytics by viewing the <u>Research dashboard/Negotiation Log</u> under Award Setup Status (please allow 24 hours for the system to update). If you wish to view this report but do not have access, please work with your departmental administration to submit an access request via the <u>Access Provisioning Tool</u> using "<u>UAccess Analytics</u>" as the System and "Research" as the Subject Area.

Thank you!



#### The Award Setup Process – Award Review





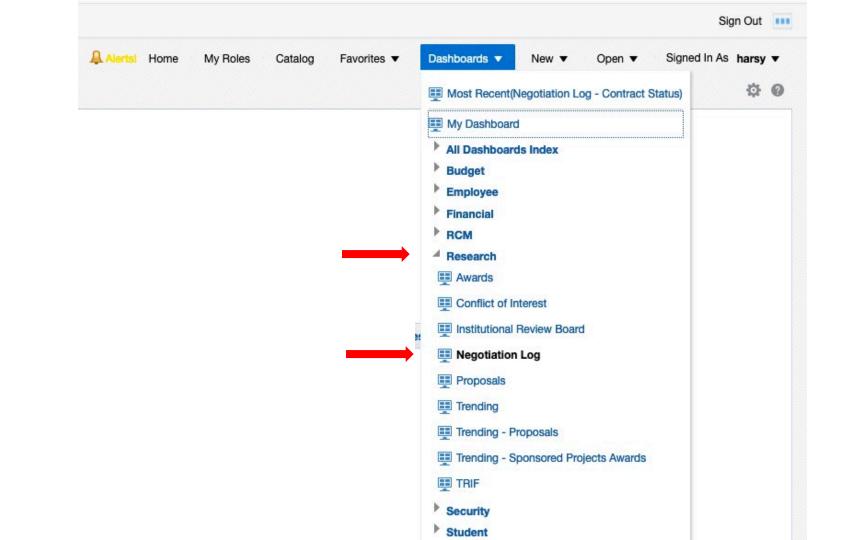
#### **Contract Status (Campus)**



#### Contract Status for contracts negotiated by Sponsored Projects & Contracting Services (SPCS)

NLID▲▼	Prop Dev Doc Nbr	Agreement Type	PI	Sponsor	Project Title	School/College	Department	Negotiator	Contract Status	Start Date	Calculated FX Date	Complete Date	Days in Progress	Current Location	Days in Location
48619	696016	Sponsored Activity	Wu,Yao-jan	Arizona State University	Development of a Regional Plan for Enhancing Future of Mobility and Technology	College of Engineering	Civil Arch Engr and Mechanics	Izetta Mae Morris	Fully Executed	4/24/2019	5/9/2019	5/13/2019	19		
49349		Confidential Disclosure Agreement	Shadman,Farhang	Micron Technology, Foundation Inc.	Confidentiality Agreement	College of Engineering	Chemical & Environmental Engr	John J Annibal	Negotiation	5/30/2019			43	Office of General Counsel	10
49377		Material Transfer Agreement - In	Sierra Alvarez,Maria Reyes	United States Environmental Protection Agency	8:2 Fluorotelomer sulfonic acid, DTXSID00192353, CASRN 39108-34-4. Quantity = 10-20 mg neat solid powder	College of Engineering	Chemical & Environmental Engr	Peter Willis Stamm	Negotiation	5/31/2019			42	Department/PI	21
50173		Sponsored Activity	Gervasio,Dominic F	City of Tucson, Arizona	Tucson Water - U of A Corrosion Monitoring IGA Renewal	College of Engineering	Chemical & Environmental Engr	Christopher John Barnhill	Negotiation	6/27/2019			15	Sponsor	14
50210		Confidential Disclosure Agreement	Little,Jesse C	Raytheon Company	RFP for Phase 2 High-Speed Flow Sensing and Control	College of Engineering	Aerospace & Mechanical Engr	Radha Radhakrishnan	Negotiation	6/28/2019			14	Multiple	10
50254	719644	Sponsored Activity	Thangavelautham,Jekan	Oak Ridge Associated Universities	Space-based Autonomous Multi- robot Systems for Assembly, Repair and Refueling	College of Engineering	Aerospace & Mechanical Engr	Christopher John Barnhill	Fully Executed	7/1/2019	7/9/2019		11	SPCS	1

Analyze - Refresh - Print - Export



Award Setup NLID **Award Receipt Date** PΙ Agreement Type Project Title Department Award Setup Status Status Between 50043:51041:5098 --Select Value----Select Value-- ▼ --Select Value----Select Value-- ▼ --Select Value--Account Sponsor Prop Dev Doc Nbr School/College Org Code Award Current Location **Account Setup Complete Date** --Select Value----Select Value-- ▼ --Select Value----Select Value----Select Value-- ▼ Between Apply Reset ▼ Awards appearing on this report as those that were either in progress in SPCS Award Services as of 07/01/2019, or have been received by Award Services on or after 07/01/2019. Commands under negotiation do not appear on this report until the contract is sent to Award Services for award setup. Questions? Please contact Maria Marzinsky at marzinsk@email.arizona.edu or Todd Woogman at twoogmansemail.arizona.edu Award Award Award Setup Award Award Award Days Award Current Prop Dev Agreement NLID School/College Department Review Complete Days in Account Sponsor oject litle Setup Doc Nbr Type in Progress Location Date Location Status Date Date 51041 3018530 Kraft.Andrew National Cancer University of Arizona Cancer Center -AZ Health Sci Ctrs Cancer Center 8/7/2019 8/7/2019 13 Conflict of 13 Sponsor Award Institute Cancer Center Support Grant Division Review & Divisions 620415 51091 4028010 Sponsored Alexander.Gene F University of College of Science Psychology 8/8/2019 8/8/2019 8/8/2019 0 Revitalizing Cognition in Older Adults Complete Activity Florida at Risk for Alzheimer's Disease: A Pilot Intervention with Near Infrared Stimulation 51113 3027730 National 8/8/2019 8/9/2019 Sponsored Strausfeld.Nicholas Collaborative Research: Origin and College of Science Neuroscience Complete 8/8/2019 1 Activity Science Evolutionary Divergence of the Foundation Pancrustacean Brain 50043 Sponsored Herbst, Karen L The Lipedema Treatment, research and Education of College of Medicine Award 8/13/2019 8/13/2019 7 UAHS Activity Foundation Adipose Tissue (TREAT) Program Medicine - Tucson Review Contracting 51125 695664 Sponsored Merchant, Niray C Texas A and M CC\* Team: SWEETER -- SouthWest RDI Centers & BIO5 Institute Award 8/14/2019 8/16/2019 6 Department/PI Activity University Expertise in Expanding, Training, Institutes Review Education and Research 51217 626861 Sponsored Green.Linda B National Subsistence and out migration: College of Social & School of Award 8/14/2019 8/14/2019 6 SPCS Activity Science Intergenerational Dialogue between Behay Sci Anthropology Review Postav Alaska Native Elders and Youth Foundation 8/19/2019 51288 3019720 Sponsored riri Salim A National I/UCRC Industry/University College of Electrical and Complete 8/16/2019 8/16/2019 3 Science Activity Cooperative Research Center for Engineering Computer Engr Foundation Cloud and Autonomic Computing 720268 Sponsored United States College of Agric Sch of Nat 8/16/2019 8/19/2019 4 SPCS 51303 Fisher.Lawrence A Science Support for Wildlife Award Activity Fish and Wildlife Conservation in Arizona and Life Sci Resource&Enviro-Review Postaward Service Res 50983 4020720 Norwood, Robert A TIPD, LLC James C Wyant Coll 8/19/2019 1 SPCS Awards Sponsored All Fiber Magneto Optic High Dynamic James C Wyant Award

Coll Optical Sci

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Receipt

Range Magnetic Gradiometer

Activity



NLID	Account	Prop Dev Doc Nbr	Agreement Type	PI	Sponsor	Project Title	School/College	Department	Awar Setu Statu
51041	3018530		Sponsored Activity	Kraft,Andrew	National Cancer Institute	University of Arizona Cancer Center - Cancer Center Support Grant	AZ Health Sci Ctrs & Divisions	Cancer Center Division	Awar Revie
51091	4028010	620415	Sponsored Activity	Alexander,Gene E	University of Florida	Revitalizing Cognition in Older Adults at Risk for Alzheimer's Disease: A Pilot Intervention with Near Infrared Stimulation	College of Science	Psychology	Comp
51113	3027730		Sponsored Activity	Strausfeld,Nicholas J	National Science Foundation	Collaborative Research: Origin and Evolutionary Divergence of the Pancrustacean Brain	College of Science	Neuroscience	Com
50043			Sponsored Activity	Herbst,Karen L	The Lipedema Foundation	Treatment, research and Education of Adipose Tissue (TREAT) Program	College of Medicine - Tucson	Medicine	Awar
51125		695664	Sponsored Activity	Merchant,Nirav C	Texas A and M University	CC* Team: SWEETER SouthWest Expertise in Expanding, Training, Education and Research	RDI Centers & Institutes	BIO5 Institute	Awar
51217		626861	Sponsored Activity	Green,Linda B	National Science Foundation	Subsistence and out migration: Intergenerational Dialogue between Alaska Native Elders and Youth	College of Social & Behav Sci	School of Anthropology	Awar
51288	3019720		Sponsored Activity	Hariri,Salim A	National Science Foundation	I/UCRC Industry/University Cooperative Research Center for Cloud and Autonomic Computing	College of Engineering	Electrical and Computer Engr	Com
51303		720268	Sponsored Activity	Fisher,Lawrence A	United States Fish and Wildlife Service	Science Support for Wildlife Conservation in Arizona	College of Agric and Life Sci	Sch of Nat Resource&Enviro- Res	Awan
50983	4020720		Sponsored Activity	Norwood,Robert A	TIPD, LLC	All Fiber Magneto Optic High Dynamic Range Magnetic Gradiometer	James C Wyant Coll Optical Sci	James C Wyant Coll Optical Sci	Awar Rece

Pepartment	Award Setup Status	Award Receipt   Date	Award Review Date	Award Setup Complete Date	Award Days in Progress	Award Current Location	Award Days in Location
Cancer Center Division	Award Review	8/7/2019	8/7/2019		13	Conflict of Interest	13
sychology	Complete	8/8/2019	8/8/2019	8/8/2019	0		
leuroscience	Complete	8/8/2019	8/8/2019	8/9/2019	1		
Medicine	Award Review	8/13/2019	8/13/2019		7	UAHS Contracting	7
IIO5 Institute	Award Review	8/14/2019	8/16/2019		6	Department/PI	4
ichool of inthropology	Award Review	8/14/2019	8/14/2019		6	SPCS Postaward	6
lectrical and computer Engr	Complete	8/16/2019	8/16/2019	8/19/2019	3		
ich of Nat lesource&Enviro- les	Award Review	8/16/2019	8/19/2019		4	SPCS Postaward	1
ames C Wyant Coll	Award	8/19/2019			1	SPCS Awards	1

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sychology	Complete	8/8/2019	8/8/2019	8/8/2019	0		
				Statu	IS		
leuroscience	Complete	8/8/2019	8/8/201	Award Re			
/ledicine	Award Review	8/13/2019	8/13/20	Award Re Compl		UAHS Contracting	
IIO5 Institute	Award Review	8/14/2019	8/16/2019	Compi	o te	Department/PI	
school of anthropology	Award Review	8/14/2019	8/14/2019		6	SPCS Postaward	
lectrical and computer Engr	Complete	8/16/2019	8/16/2019	8/19/2019	3		
ich of Nat lesource&Enviro- les	Award Review	8/16/2019	8/19/2019		4	SPCS Postaward	
ames C Wyant Coll )ptical Sci	Award Receipt	8/19/2019			1	SPCS Awards	

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leuroscience	Complete	8/8/2019	8/8/201	ate awa receive			
Medicine	Award Review	8/13/2019	8/13/20	Award Se	rvices	UAHS Contracting	7
IIO5 Institute	Award Review	8/14/2019	8/16/2019		6	Department/PI	4
chool of nthropology	Award Review	8/14/2019	8/14/2019		6	SPCS Postaward	(
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						te Award	
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						Date	account w
leuroscience	Complete	8/8/2019	8/8/2019	8/9/2019	1		setup
		011010010	0400040				-
Medicine	Award Review	8/13/2019	8/13/2019		7	UAHS Contracting	7
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ames C Wyant Coll Optical Sci	Award Receipt	8/19/2019			1	SPCS Awards	1

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leuroscience	Complete	8/8/2019	8/8/2019	8/9/2019	1		III AW	raid Service
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'sychology	Complete	8/8/2019	8/8/2019	8/8/2019	0		If award setup is in progress, what
leuroscience	Complete	8/8/2019	8/8/2019	8/9/2019	1		office is it currently in
Medicine	Award Review	8/13/2019	8/13/2019		7	UAHS Contracting	7
IO5 Institute	Award Review	8/14/2019	8/16/2019		6	Department/PI	4
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ames C Wyant Coll	Award	8/19/2019			1	SPCS Awards	

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### **Tracking Your Contract**

Select time zone: Local S	can Time ‡	
All shipment travel activity	is displayed in local time for the locat	ion
Date/Time	Activity	Location
Nov 29, 2011 10:58 AM	In transit	SANTIAGO CL
Nov 29, 2011 8:31 AM	International shipment release	SANTIAGO CL
Nov 29, 2011 8:31 AM	In transit	SANTIAGO CL
Nov 29, 2011 3:18 AM	At destination sort facility	SANTIAGO CL
Nov 28, 2011 3:22 AM	Departed FedEx location	MEMPHIS, TN
Nov 27, 2011 6:42 PM	In transit	MEMPHIS, TN
Nov 26, 2011 3:42 AM	In transit	MEMPHIS, TN
Nov 25, 2011 3:20 PM	In transit	MEMPHIS, TN
Nov 24, 2011 7:51 AM	Arrived at FedEx location	MEMPHIS, TN
Nov 24, 2011 3:00 AM	Arrived at FedEx location	MEMPHIS, TN
Nov 23, 2011 8:20 PM	Left FedEx origin facility	CHARLESTON, SC
Nov 23, 2011 4:30 PM	Picked up	CHARLESTON, SC
Nov 23, 2011 4:54 PM	Shipment information sent to FedEx	

#### Award Setup Status Detail

NLID	Prop Dev Doc Nbr	PI	Sponsor	School/College	Org Code	Department
51046 ▼	Select Value ▼	Select Value ▼	Select Value ▼	Select Value ▼	Select Value ▼	Select Value ▼ Apply Reset ▼

Awards appearing on this report are those that were either in progress in SPCS Award Services as of 07/01/2019, or have been received by Award Services on or after 07/01/2019. Contracts under negotiatic do not appear on this report until the contract is sent to Award Services for award setup.

Questions? Please contact Maria Marzinsky at marzinsk@email.arizona.edu or Todd Woodman at twoodman@email.arizona.edu

NLID	Prop Dev Doc Nbr	PI	Sponsor	Award Receipt Date	Account Setup Complete Date	Award Days in Progress	Award Setup Status	Award Location	Date Out	Date Back	Comments
51046		Guerra,Stefano	National Institute of Allergy and Infectious Disease	08/07/2019		13	Award Receipt	SPCS Awards	08/07/2019	08/07/2019	
							Award Review	Conflict of Interest	08/07/2019	08/12/2019	08/07/19 Refer to COI for incomplete requirements. MS
								SPCS Awards	08/12/2019	08/12/2019	08/12/19 COI complete, UAR complete, to team. MS
								SPCS Postaward	08/12/2019		08/12/19 MS

Analyze - Refresh - Print - Export